

1-28-02

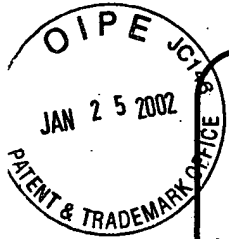
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PTO/SB/21 (6-98)

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/912,041
	Filing Date	July 24, 2001
	First Named Inventor	Lingyi A. Zheng
	Group Art Unit	2815
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number MTI-31470	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosures (please identify below) <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> Supplement Form 1449, cited references, and return postcard </div>
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Firm or Individual name	Whyte Hirschboeck Dudek, S.C. Kristine M. Strodthoff
Signature	<i>Kristine M Strodthoff</i>
Date	January 25, 2002

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Patent

Attorney Docket No.: MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zheng, Lingyi A.
Serial No. : 09/912,041
Confirmation No. : 4539
Filing Date : July 24, 2001

For: Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

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37 CFR 1.8(a)

37 CFR 1.10

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☐ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: 1/25, 2002

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent Form PTO-1449 are those patents and other publications known to Applicant that may be considered material to the patentability of the claims of the above-identified application.

The Applicant respectfully requests that the documents listed on Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

Kristine M. Strodthoff
Registration No. 34259

Dated: January 25, 2002

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